

# ALTERNATIVE/SPECIALTY DYNAMIC ALTERNATIVE MANAGED RISK PRIVATE POOL CLASS

Series FH (USD) • As at September 30, 2020

DYNAMIC  
**private**  
INVESTMENT POOLS

## DAMIAN HOANG BASC., MBA

INCEPTION	<b>2015 June</b>
NET ASSETS	<b>\$45.02 millions</b>
HOLDINGS	<b>89</b>
MER <sup>†</sup>	<b>1.03%</b>
NAV	<b>\$10.61 USD</b>

## RISK RATING<sup>‡</sup>

LOW		MEDIUM	HIGH
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## TOP 10 EQUITY HOLDINGS %

Microsoft Corporation	
Telefonaktiebolaget LM Ericsson, Sponsored ADR	
Amazon.com, Inc.	
Merck & Co., Inc.	
Netflix, Inc.	
Bank of America Corporation	
Equinix, Inc.	
Citigroup Inc.	
Ciena Corporation	
Keysight Technologies, Inc.	
<b>Total allocation in top holdings</b>	<b>23.4</b>

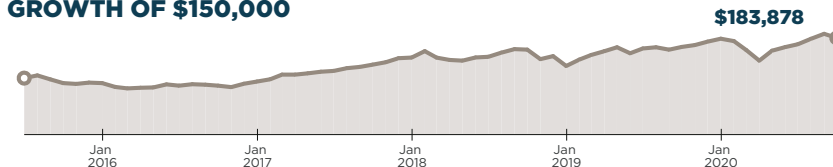
## FUND CODES (Prefix: DYN)

Series	FE	LL	LL2	DSC	No load
A	3972				
DCAF	3997 <sup>a</sup>				
DCAF-F					3916 <sup>a</sup>
F					3915
FH (USD)					3917
FT					3950
I					3969
T	3973				

## INVESTMENT DISCIPLINE

The Pool seeks to provide exposure to a multi-asset multi-strategy portfolio with the potential to deliver low volatility U.S. exposure with competitive participation in good markets, attractive income generation potential and a systematic pivot to risk-reduction in declining markets. The Pool's investment approach utilizes stocks, bonds, cash, futures and options and several investment styles such as low beta, quality and value. The key to the Pool's systematic downside protection is the fact that strategies are managed within a conservative pre-defined risk budget. The risk budget aims to constrain the Pool's average volatility and potential loss to be in-line with traditional balanced funds but with less duration risk over time.

## GROWTH OF \$150,000



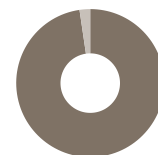
Compound growth calculations are used only for the purpose of illustrating the effects of compound growth and are not intended to reflect future value of any mutual fund or returns on investment in any mutual fund.

## ASSET ALLOCATION



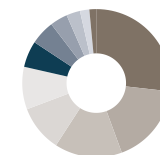
- 32.5% Managed Risk
- 29.6% Low Volatility
- 26.8% Fixed Income
- 11.1% Risk Reduction

## GEOGRAPHIC ALLOCATION



- 71.5% United States
- 1.7% Canada

## SECTOR ALLOCATION



- 19.6% Information Technology
- 12.9% Consumer Discretionary
- 10.8% Health Care
- 7.4% Financials
- 6.8% Communication Services
- 4.2% Consumer Staples
- 3.9% Materials
- 2.8% Energy
- 2.2% Real Estate
- 1.5% Industrials
- 1.1% Utilities

## CALENDAR RETURNS %

YTD 2020	2019	2018	2017	2016	2015	2014	2013
<b>0.4</b>	<b>16.2</b>	<b>-4.8</b>	<b>15.7</b>	<b>1.0</b>	-	-	-

## COMPOUND RETURNS %

1 mo	3 mo	6 mo	YTD	1 yr	3 yrs	5 yrs	10 yrs	Incep
<b>-2.0</b>	<b>3.3</b>	<b>13.1</b>	<b>0.4</b>	<b>4.8</b>	<b>5.0</b>	<b>5.4</b>	-	<b>3.9</b>

## HISTORICAL DISTRIBUTIONS (USD\$/unit)

2020 Sep	Aug	Jul	Jun	May	Apr	Mar	Feb	2020 Jan	Dec	Nov	Oct
-	-	-	-	-	-	-	-	<b>0.8844</b>	<b>0.0008</b>	-	-

<sup>[\*]</sup> For the period ended June 30, 2020. Effective February 16, 2018, this Pool no longer pays a performance fee. <sup>[a]</sup> Switching from a Dynamic Corporate Class Fund into the DCAF version of a Corporate Class Fund will trigger a taxable event, as DCAF is composed of money market securities held in a mutual fund trust that are not within the Class structure. Once in DCAF, switches are then made into the target Corporate Class Fund.

**Dynamic Private Investment Pools offer affluent investors privileged access to preferential pricing combined with first-class, legitimately active investment management.**

**Customer Relations Centre**

Toll free: 1-800-268-8186

Tel: 514-908-3212 (English)

514-908-3217 (French)

Fax: 416-363-4179 or

1-800-361-4768

Email: [service@dynamic.ca](mailto:service@dynamic.ca)**Ontario (Head Office)**

Dynamic Funds Tower

1 Adelaide St. E., Ste. 2800

Toronto, ON M5C 2V9

Toll free: 1-866-977-0477

Tel: 416-363-5621

**Eastern Canada**

1200 McGill College Ave., Ste. 2300

Montreal, QC H3B 4G7

**Western Canada**

Suite 300, 119 - 6 Avenue SW

Calgary, AB T2P 0P8

Four Bentall Centre

1055 Dunsmuir St., Ste. 3434

P.O. Box 49217

Vancouver, BC V7X 1K8

**DYNAMIC PREFERRED PRICING**

Management fee rates are applied back to dollar one

Fund Value	%
\$0K - \$250K	0.750%
\$250K - \$1MM	0.675%
\$1MM - \$5MM	0.625%
\$5MM+	0.575%

**[†]** Risk rating measures the degree of uncertainty that an investor can handle regarding fluctuations in the value of their portfolio. The amount of risk associated with any particular investment depends largely on your own personal circumstances including your time horizon, liquidity needs, portfolio size, income, investment knowledge and attitude toward price fluctuations. Investors should consult their financial advisor before making a decision as to whether this pool is a suitable investment for them.

Commissions, trailing commissions, management fees and expenses all may be associated with investments in pools. Please read the prospectus before investing.

The indicated rates of return are the historical annual compounded total returns including changes in units [share] value and reinvestment of all distributions [dividends] and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any security holder that would have reduced returns. The rates of return are used only to illustrate the effects of the compound growth rate and are not intended to reflect future values of the pool or returns on investment in the pool. Investments in pools are not guaranteed, their values change frequently and past performance may not be repeated.


[dynamic.ca/f/2670](https://dynamic.ca/f/2670)